

Electronic Acknowledgement Receipt

EFS ID:	9269876
Application Number:	10699859
International Application Number:	
Confirmation Number:	5126
Title of Invention:	Electronic systems and methods for providing a trading interface with advanced features
First Named Inventor/Applicant Name:	Howard W. Lutnick
Customer Number:	63710
Filer:	Mark Adam Miller/David Postolski
Filer Authorized By:	Mark Adam Miller
Attorney Docket Number:	02-1078
Receipt Date:	20-JAN-2011
Filing Date:	31-OCT-2003
Time Stamp:	13:58:27
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1110
RAM confirmation Number	191
Deposit Account	503938
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment/Req. Reconsideration-After Non-Final Reject	02-1078_110120_Amendment.pdf	67069 b2c8B661322a2cd4d4549cd00c98ba12b07cc9	no	15

Warnings:

Information:

2	Fee Worksheet (PTO-875)	fee-info.pdf	30055 c633964cbf5ad1fd0097cdec1c031498179dd5f	no	2
---	-------------------------	--------------	--	----	---

Warnings:

Information:

Total Files Size (in bytes):

97124

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/D/O/E/O/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.